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General information about company

Scrip code	508905
NSE Symbol	
MSEI Symbol	
ISIN	INE641A01013
Name of the company	SMIFS CAPITAL MARKETS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Capital Restructuring
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-07-2024
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	30-07-2024
Shareholding pattern filed under	Regulation 31 (1) (c)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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(POONAM BHATIA)
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Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

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Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)
(A)	Promoter & Promoter Group	13	3064051			3064051
(B)	Public	1815	2520949			2520949
(C)	Non Promoter- Non Public					
(C1)	Shares underlying DRs					
(C2)	Shares held by Employee Trusts					
	Total	1828	5585000			5585000

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Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rightsheld in each class ofsecurities(IX)			Total as a % of (A+B+C)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
	No of Voting (XIV) Rights										
	Class eg: X	Class eg:y	Total								
54.86	30,64,051.00		3064051.00	54.86							
45.14	2520949.00		2520949.00	45.14							
100	5585000.00		5585000.00	100.00							

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Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						Shareholding (No. of shares) under		
	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
54.86					3064051			
45.14					2480467	0	0	0
100					5544518	0	0	0

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Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)
A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group							
(1) Indian							
(a) Individuals/Hindu undivided Family		9	784350			784350	14.04
(b) Central Government/ State Government(s)							
(c) Financial Institutions/ Banks							
(d) Any Other (specify)		4	2279701			2279701	40.82
Sub-Total (A)(1)		13	3064051			3064051	54.86
(2) Foreign							
(a) Individuals (NonResident Individuals/ Foreign Individuals)							
(b) Government							
(c) Institutions							
(d) Foreign Portfolio Investor							
(e) Any Other (specify)							
Sub-Total (A)(2)							
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		13	3064051			3064051	54.86
	Details of Shares which remain unclaimed for Promoter & Promoter Group						
B	Table III - Statement showing shareholding pattern of the Public shareholder			Note : Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual.			
(1) Institutions (Domestic)							
(a) Mutual Funds							
(b) Venture Capital Funds							
(c) Alternate Investment Funds							
(d) Banks							
(e) Insurance Companies							
(f) Provident Funds/ Pension Funds							
(g) Asset reconstruction companies							
(h) Sovereign Wealth Funds							
(i) NBFCs registered with RBI							

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(i)	Other Financial Institutions						
(k)	Any Other (specify)						
Sub-Total (B)(1)							
(2)	Institutions (Foreign)						
(a)	Foreign Direct Investment						
(b)	Foreign Venture Capital Investors						
(c)	Sovereign Wealth Funds						
(d)	Foreign Portfolio Investors Category I	1	20000			20000	0.36
(e)	Foreign Portfolio Investors Category II						
(f)	Overseas Depositories (holding DRs) (balancing figure)						
(g)	Any Other (specify)						
Sub-Total (B)(2)		1	20000			20000	0.36
(3)	Central Government / State Government(s)						
(a)	Central Government / President of India						
(b)	State Government / Governor						
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter						
Sub-Total (B)(3)							
(4)	Non-institutions						
(a)	Associate companies / Subsidiaries						
(b)	Directors and their relatives (excluding independent directors and nominee directors)						
(c)	Key Managerial Personnel						
(d)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)						
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'						
(f)	Investor Education and Protection Fund (IEPF)	1	126011			126011	2.26
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	1710	832543			832543	14.91
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	10	1007183			1007183	18.03

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(i)	<u>Non Resident Indians (NRIs)</u>	10	15602			15602	0.28
(j)	<u>Foreign Nationals</u>						
(k)	<u>Foreign Companies</u>						
(l)	<u>Bodies Corporate</u>	34	451115			451115	8.08
(m)	<u>Any Other (specify)</u>	49	68495			68495	1.23
Sub-Total (B)(4)		1814	2500949			2500949	44.78
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		1815	2520949			2520949	45.14

Details of the shareholders acting as persons in Concert for Public

Details of Shares which remain unclaimed for Public

C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder						
(1)	<u>Custodian/DR Holder - Name of DR Holders (if Available)</u>						
(2)	<u>Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Share Equity) Regulations, 2021</u>						
Total NonPromoter- Non Public Shareholding (C)=(C)(1)+(C)(2)							
Total (A+B+C2)		1828	5585000			5585000	100.00
Total (A+B+C)		1828	5585000			5585000	100.00
Disclosure of notes on shareholding pattern							
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage							
Disclosure of notes in case of public share holding is less than 25 percentage							
Disclosure of notes on shareholding pattern for company remarks explanatory							

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Number of Voting Rights held in each class of securities(IX)			Total as a % of Total Voting rights	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (Xi)= (VII)+(X) As a % of (A+B+C2)
No of Voting (XIV)Rights		Total					
Class eg: X	Class eg:y	Total					

784350.00		784350	14.04				14.04
2279701.00		2279701	40.82				40.82
3064051.00		3064051	54.86				54.86
3064051.00		3064051	54.86				54.86

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20000		20000	0.36				0.36
20000		20000	0.36				0.36
126011		126011	2.26				2.26
832543		832543	14.91				14.91
1007183		1007183	18.03				18.03

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15602		15602	0.28				0.28
451115		451115	8.08				8.08
68495		68495	1.23				1.23
2500949.00		2500949	44.78				44.78
2520949		2520949	45.14				45.14

5585000.00		5585000	100.00				100.00
5585000.00		5585000	100.00				100.00
Add Notes							
Add Notes							
Add Notes							
Add Notes							

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			12602	0	0	0
			445015	0	0	0
			68495	0	0	0
			2460467	0	0	0
			2480467	0	0	0

			5544518	0
			5544518	0

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)
A1(a) Individuals/Hindu undivided Family					
Add	Delete				
1	AJAY KUMAR KAYAN	AGGPK0892G	400550	400550	7.17
2	LALITA KAYAN	AGGPK0893H	154300	154300	2.76
3	NILANGI PAREKH	AJPPP3852K	123000	123000	2.20
4	UTSAV PAREKH	AGHPP4467H	96200	96200	1.72
5	RAHUL KAYAN	AGAPK0442L	7000	7000	0.13
6	SUMAN BHARTIA	AEEPB9602B	1500	1500	0.03
7	GAURI SHANKAR AJAY KUMAR (HUF)	AABHG5183R	1000	1000	0.02
8	AJAY KUMAR KAYAN (HUF)	AACHA9009B	700	700	0.01
9	PAYAL SARAF	AFYPK7045N	100	100	0.00
Click here to go back		Total	784350	784350	14.04

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Number of Voting Rights held in each class of securities (IX)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
No of Voting (XIV) Rights		Total as a % of Total Voting rights			
Class eg:X	Total				

400550.00	400550.00	7.17	7.17	400550	
154300.00	154300.00	2.76	2.76	154300	
123000.00	123000.00	2.20	2.20	123000	
96200.00	96200.00	1.72	1.72	96200	
7000.00	7000.00	0.13	0.13	7000	
1500.00	1500.00	0.03	0.03	1500	
1000.00	1000.00	0.02	0.02	1000	
700.00	700.00	0.01	0.01	700	
100.00	100.00	0.00	0.00	100	
784350.00	784350.00	14.04	14.04	784350	

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Shareholder type
Promoter
Promoter Group
Promoter Group
Promoter
Promoter Group

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Searial No.	Category	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (II)
A1(d)	Any Other (specify)			
Add Delete				
1	Bodies Corporate	MACKERTICH CONSULTANCY SERVICES PRIVATE	AABCM5680Q	1
2	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP6020F	1
3	Bodies Corporate	STEWART INVESTMENT AND FINANCIAL PRIVAT	AADCS8612C	1
4	Bodies Corporate	LEND LEASE COMPANY (INDIA) LTD.	AAACL4431F	1
Click here to go back				Total 4

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No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class (IX)	
			No of Voting (XIV) Rights	
			Class eg: X	Total

1115700	1115700	19.98	1115700.00	1115700.00
627251	627251	11.23	627251.00	627251.00
276750	276750	4.96	276750.00	276750.00
260000	260000	4.66	260000.00	260000.00
2279701	2279701	40.82	2279701.00	2279701.00

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ss of securities	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
19.98	19.98	1115700		Promoter
11.23	11.23	627251		Promoter
4.96	4.96	276750		Promoter
4.66	4.66	260000		Promoter Group
40.82	40.82	2279701		

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)
B4(f) Investor Education and Protection Fund (IEPF)					
1	INVESTOR EDUCATION AND PROTECTION FU	ZZZZZ9999Z	126011	126011	2.26
	Click here to go back	Total	126011	126011	2.26

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Number of Voting Rights held in each class of securities (IX)		Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
No of Voting (XIV) Rights				
Class eg: X	Total	Total as a % of Total Voting rights		

126011.00	126011.00	2.26	2.26	126011	2
126011.00	126011.00	2.26	2.26	126011	

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Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	Sub-category (ii)	Sub-category (iii)
0	0	0
0	0	0

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)
B4(h) Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.					

[Add](#)[Delete](#)**Disclosure of shareholder holding more than 1% of total number of shares**

1	PUNJABHAI KESHAVBHAI JADEJA	AEDPK1160L	243711	243711	4.36
2	MINAL BHARAT PATEL	AACPP5126G	219349	219349	3.93
3	SANGEETHA S	AIJPS3739F	170000	170000	3.04
4	BHOPENDRA KUMAR	APMPK3832R	105856	105856	1.90
5	RUCHI GANGWAR	AONPG0792C	73406	73406	1.31
6	MITHALAL HASTIMAL GANDHI	ABGPG9310G	62087	62087	1.11
7	MANJU GAGGAR	AGHPG4408M	58550	58550	1.05
Click here to go back		Total	932959	932959	16.70

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Number of Voting Rights held in each class of securities (IX)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
No of Voting (XIV) Rights		Total as a % of Total Voting rights			
Class eg: X	Total				

243711.00	243711.00	4.36	4.36	243711	
219349.00	219349.00	3.93	3.93	219349	
170000.00	170000.00	3.04	3.04	170000	
105856.00	105856.00	1.90	1.90	105856	
73406.00	73406.00	1.31	1.31	73406	
62087.00	62087.00	1.11	1.11	62087	
58550.00	58550.00	1.05	1.05	58550	
932959.00	932959.00	16.70	16.70	932959	

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Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	Sub-category (ii)	Sub-category (iii)

0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	
B4(I) Bodies Corporate						
	Add	Delete	Disclosure of shareholder holding more than 1% of total number of shares			
1	ASTRA MERCHANDISING PRIVATE LIMITED	AAACA6338G	269509	269509	4.83	
2	ANTRIKSH VYAPAAR LIMITED	AACCA5697E	106225	106225	1.90	
Click here to go back		Total	375734	375734	6.73	

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Number of Voting Rights held in each class of securities (IX)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
No of Voting (XIV) Rights		Total as a % of Total Voting rights			
Class eg: X	Total				

269509.00	269509.00	4.83	4.83	269509	
106225.00	106225.00	1.90	1.90	106225	
375734.00	375734.00	6.73	6.73	375734	

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Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	Sub-category (ii)	Sub-category (iii)
0	0	0
0	0	0
0	0	0

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Searial No.	Category	Category / More than 1 percentage	Name of the Shareholders (I)
B4(m)	Any Other (specify)		
Add Delete			
1	Trusts	Category	
2	HUF	Category	
3	Clearing Members	Category	
4	LLP	Category	

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PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class (IX)	
					No of Voting (XIV) Rights	
					Class eg: X	Total
	1	96	96	0.00	96	96
	45	53652	53652	0.96	53652	53652
	2	14200	14200	0.25	14200	14200
	1	547	547	0.01	547	547
Total	49	68495	68495	1.22	68495.00	68495.00

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Type of securities	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
				Shareholding (No. of shares) under		
				Sub-category (i)	Sub-category (ii)	Sub-category (iii)
				0	0	0
0.00	0.00	96		0	0	0
0.96	0.96	53652		0	0	0
0.25	0.25	14200		0	0	0
0.01	0.01	547		0	0	0
1.22	1.22	68495		0	0	0

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Sr. No.	Details of the SBO					Details of the registered office		
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national
significant beneficial owners								
	Add	Delete						
1	AJAY KUMAR KAYAN	AGGPK0892G		India		MACKERTICH CONSULTANCY	AABCM5680Q	
2	UTSAV PAREKH	AGHPP4467H		India		PROGRESSIVE STAR FINANCE	AABCP6020F	

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Beneficial owner		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
India			19.98		No	No	30-01-1995
India			11.23		No	No	27-03-2024

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(POONAM BHATIA)
Company Secretary-cum-Compliance Officer

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Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	0.64
As on the end of previous 1st quarter	100.00	5.16
As on the end of previous 2nd quarter	100.00	5.15
As on the end of previous 3rd quarter	100.00	9.92
As on the end of previous 4th quarter	100.00	9.92

Notes :-

1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities

2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.

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(POONAM BHATIA)
Company Secretary-cum-Compliance Officer